UTILITY PATENT APPLICATION TRANSMITTAL

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Attorney Docket No.

012861-000021

First Inventor

Colin Regan

Title APPARATUS AND METHOD FOR GENERATING POWER

(Should be specifically itemized)

or its equivalent.

Certified Copy of Priority Document(s) (if foreign priority is claimed)

Nonpublication Request under 35 U.S.C. 122

(b)(2)(B)(i). Applicant must attach form PTO/SB/35

FROM MOVING WATER

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. EV 334192705 US **APPLICATION ELEMENTS** Mail Stop: Patent Application ADDRESS TO: Commissioner for Patents SEE MPEP chapter 600 concerning utility patent application contents. P.O. Box 1450 Alexandria, VA 22313-1450 Fee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission 2. 🛛 Applicant claims small entity status. fif applicable, all necessary) See 37 CFR 1.27. a. Computer Readable Form (CRF) b. Specification Sequence Listing on: 3. 🛛 Specification [Total Pages: 25] i. . D CD-ROM or CD-R (2 copies), or -Descriptive title of the invention -Cross Reference to Related Applications ii. paper -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, c. ___ Statements verifying identity of above copies or a computer program listing appendix -Background of the Invention **ACCOMPANYING APPLICATION PARTS** -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description Assignment Papers (cover sheet & documents(s)) -Claim(s) -Abstract of the Disclosure 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee) 4. Drawing(s) (35 U.S.C. 113) [Total Pages: 12] English Translation Document (if applicable) 11 [Total Pages: 2] 5. Oath or Declaration 12. Information Disclosure Copies of IDS Citations Unexecuted (original or copy) Statement (IDS)/PTO-1449 Copy from a prior application (37 CFR 1.63 (d)) 13. 🖂 Preliminary Amendment (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Regular Utility Application of prior provisional application No.: 60/394,685

Prior application information: Examiner: ____

DELETION OF INVENTOR(S)

1.63(d)(2) and 1.33(b)

Application Data Sheet. See 37 CFR 1.76

Signed statement attached deleting inventor(s)

Named in the prior application, see 37 CFR

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

15. l

16.

Name JENNIFER L. SKORD **MOORE & VAN ALLEN** 2200 WEST MAIN STREET, SUITE 800 Address **DURHAM** NC Zip Code 27705 City Country US 919-286-8000 919-416-8324 Telephone Registration No. (Attorney/Agent) Name Jennifer L. Skord Signature July 8, 2003

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop: Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket: 012861-000021

EXPRESS MAIL CERTIFICATE

EXPRESS MAIL LABEL NUMBER: EV 334192705 US

Date of Deposit: July 8, 2003

First Named Inventor: Colin Regan

For: APPARATUS AND METHOD FOR GENERATING POWER FROM MOVING

WATER

I hereby certify that the following documents:

- 1. Utility Patent Application Transmittal (PTO/SB/05) (1 page);
- 2. Unexecuted Declaration (2 pages);
- 3. Preliminary Amendment (3 pages);
- 4. Specification, Including 28 Claims and Abstract (25 pages);
- 5. Drawings Figures 1 18 (12 pages);
- 6. Express Mail Certificate (1 page); and
- 7. Acknowledgement Postcard.

are being deposited in a single envelope with the United States Postal Services "Express Mail" service under 37 C.F.R. 1.10 on the date indicated above and such envelope is addressed to: Mail Stop: Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(Name of Person Mailing Documents)

(Signature of Person Mailing Documents)